

(English only, two copies per delegation only /
Únicamente en inglés, dos copias por delegación únicamente /
Seulement en anglais, deux exemplaires par délégation uniquement)

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA



Fourteenth meeting of the Conference of the Parties
The Hague (Netherlands), 3-15 June 2007

REVISION TO LANGUAGE PROPOSED IN DOCUMENT COP14 DOC. 39
(PURPOSE-OF-TRANSACTION CODES ON CITES PERMITS AND CERTIFICATES)

1. The attached document has been submitted by the United States of America.
2. As a result of discussions that have occurred during the present Convention, the United States has made several revisions to the language proposed in CoP14 Doc. 39 Annex to revision Resolution Conf. 12.3 (Rev. CoP13) on Permits and certificates.
3. In an effort to provide the suggested changes before CoP14 Doc. 39 is discussed in Committee II, the United States is provided the amended language that is attached.
4. The geographical designations employed in this document do not imply the expression of any opinion whatsoever on the part of the CITES Secretariat concerning the legal status of any country, territory, or area, or concerning the delimitation of its frontiers or boundaries.

DRAFT RESOLUTION OF THE CONFERENCE OF THE PARTIES

Revision of Resolution Conf. 12.3 (Rev. CoP13) on Permits and certificates

NB: Based on the text proposed in the Annex of CoP14 Doc. 39, text to be deleted is ~~crossed-out~~. Proposed new text is underlined.

1. Proposed changes to Resolution Conf. 12.3 (Rev. CoP13), Section I, paragraph f) under RECOMMENDS:

I. Regarding standardization of CITES permits and certificates

RECOMMENDS that:

f) Parties state, on each of their permits and certificates, the purpose of the transaction for which the shipment is being made using the following codes (Note: the purpose-of-transaction codes on import and export permits do not need to be identical):

T Commercial trade – trade in live or dead specimens, parts or products for ~~economic-benefit~~ commercial purposes not covered under ~~B, M, or Z~~ K, J, or M

BK Commercial breeding in captivity or artificial propagation – trade in live specimens for breeding ~~in captivity or artificial propagation, including the production of progeny, for economic-benefit~~ commercial purposes

M Medical/scientific – trade in live or dead specimens, parts or products for scientific or medical use (including biomedical research) for ~~economic-benefit~~ commercial purposes

~~**Z** Zoo/aquarium/botanical garden/museum – trade in live or dead specimens, parts or products for economic benefit~~

J Commercial display - trade in live or dead specimens, parts or products for commercial display purposes

~~**G** Botanical gardens~~

Q Circuses and travelling exhibitions – ~~exhibition of trade in~~ live or dead specimens, parts or products with the intent of returning to the country of origin at the completion of exhibition, consistent with Article VII, paragraph 7, of the Convention

S Scientific – trade in live or dead specimens, parts or products for non-commercial scientific or medical activities

H Hunting trophies – personally sport-hunted trophies transported by the hunter or their legal representative

P Personal use – personal, non-commercial activities involving live or dead specimens, parts or products (does **not** include specimens covered under **H**)

~~**M** Medical (including biomedical research)~~

E ~~Educational exhibition – non-commercial display of live or dead specimens, parts or products by a museum, zoo, aquarium or botanical garden~~ trade in live or dead specimens, parts or products, for non-commercial educational or display purposes

N Reintroduction or introduction into the wild – non-commercial trade in live specimens to be used in conservation efforts to reintroduce or supplement wild populations, including the movement of specimens for non-commercial breeding in captivity or artificial propagation ~~for introduction or reintroduction of specimens into the wild~~ in support of in situ conservation efforts

~~B Breeding in captivity or artificial propagation~~

B Breeding in captivity or artificial propagation - trade in live specimens for non-commercial breeding in captivity or artificial propagation, or other conservation efforts, in support of *in situ* or *ex situ* conservation efforts

L Law enforcement/judicial/forensic – live or dead specimens, parts or products transported for governmental law enforcement, judicial or forensic purposes;

2. Proposed changes to Annex 1 of Resolution Conf. 12.3 (Rev. CoP13):

Information that should be included in CITES permits and certificates

- a) The full name and the logo of the Convention
- b) The complete name and address of the Management Authority issuing the permit
- c) A unique control number
- d) The complete names and addresses of the exporter and importer
- e) The scientific name of the species to which the specimens belong (or the subspecies when it is relevant in order to determine in which Appendix the taxon concerned is included) in accordance with the adopted standard nomenclature
- f) The description of the specimens, in one of the Convention's three working languages, using the nomenclature of specimens distributed by the Secretariat
- g) The numbers of the marks appearing on the specimens if they are marked or if a Resolution of the Conference of the Parties prescribes marking (specimens from ranches, subject to quotas approved by the Conference of the Parties, originating from operations which breed animals included in Appendix I in captivity for commercial purposes, etc.)
- h) The Appendix in which the species or subspecies or population is listed
- i) The source of the specimens
- j) The purpose of the transaction
- k) The quantity of specimens and, if appropriate, the unit of measure used
- l) The date of issue and the date of expiry
- m) The name of the signatory and his/her handwritten signature
- n) The embossed seal or ink stamp of the Management Authority
- o) A statement that the permit, if it covers live animals, is only valid if the transport conditions comply with the *CITES Guidelines for transport and preparation for shipment of live wild animals and plants (CITES Guidelines for transport)* or, in case of air transport, with the *IATA Live Animals Regulations*
- p) The registration number of the operation, attributed by the Secretariat, when the permit involves specimens of a species included in Appendix I that originate from an operation practicing breeding in captivity or artificial propagation for commercial purposes (Article VII, paragraph 4), and the name of the operation when it is not the exporter
- q) The actual quantity of specimens exported, certified by the stamp or seal and signature of the authority that carried out the inspection at the time of the exportation

- r) When specimens are marked with microchip transponders, all microchip codes, together with the trade mark of the transponder manufacturer, and, where possible, the location of the microchip in the specimen

To be included in certificates of origin only

- s) A statement that the specimens originate in the country that issued the certificate
3. Finally, proposed changes to paragraph 5a of the 'Instructions and explanations' section of Annex 2 (Standard CITES form) of Resolution Conf. 12.3 (Rev. CoP13):

Standard CITES form

Instructions and explanations

(These correspond to block numbers on the form)

5a. The following codes should be used to identify the purpose of the import, export or re-export for which a CITES document is being issued: **T** for commercial trade, ~~**BK**~~ for commercial breeding in captivity or artificial propagation, **M** for medical/scientific specimens, ~~**Z**~~ for zoos/aquarium/botanical gardens/museums, ~~**G**~~ for botanical gardens, **J** for commercial display, **Q** for circuses and travelling exhibitions, **S** for ~~scientific purposes~~, **H** for hunting trophies, **P** for personal use, ~~**M**~~ for medical, **E** for educational exhibition, **N** for reintroduction or introduction into the wild, and ~~**B**~~ for breeding in captivity or artificial propagation, and **B** for breeding in captivity or artificial propagation, and **L** for law enforcement/judicial/forensic as defined in Section I, paragraph f) of Resolution Conf. 12.3 (Rev. CoP14).