

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA



Sixty-fifth meeting of the Standing Committee
Geneva (Switzerland), 7-11 July 2014

Interpretation and implementation of the Convention

Compliance and enforcement

NATIONAL LAWS FOR IMPLEMENTATION OF THE CONVENTION

1. This document has been prepared by the Secretariat.

Background

2. The National Legislation Project (NLP) is a compliance and technical assistance process unique to CITES, which was established in 1992. Its primary aim is the analysis of Parties' enabling and implementing legislation against the requirements laid down in the text of the Convention and Resolution Conf. 8.4 (Rev. CoP15) on *National laws for implementation of the Convention*.
3. Indicator 1.1.1 of the *CITES Strategic Vision: 2008-2020* is the number of Parties that are in Category 1 under the NLP, reflecting the importance that Parties have placed on the enactment of legislation for effective implementation of the Convention.

Progress made since SC63 and CoP16

4. Following discussion of the agenda item on national laws for implementation of the Convention at the 63rd meeting of the Standing Committee (SC63, Bangkok, March 2013), and on a proposal from the Chair, the Standing Committee requested the Secretariat "to produce a summary of the progress made and problems faced by each of the Parties involved, for consideration at SC65". The Secretariat has adjusted its legislative status chart to include this information and the updated chart is contained in the Annex to this document.
5. During Committee II discussions on national legislation at the 16th meeting of the Conference of the Parties (CoP16, Bangkok, 2013), it was suggested that Parties which have been party to the Convention for 20 years or more should have appropriate CITES-implementing measures in place by now and that increased emphasis needed to be placed on the enactment of legislation as it is an essential precursor to effective compliance with and enforcement of the Convention. The Conference thereafter adopted Decisions 16.33 – 16.38 on National laws for implementation of the Convention as follows:

Directed to Parties

16.33 *By the 66th meeting of the Standing Committee (SC66), Parties whose legislation is in Category 2 or 3 under the National Legislation Project and which have been party to the Convention for more than five years as of March 2013 should submit to the Secretariat, in one of the working languages of the Convention, appropriate measures that have been adopted for effective implementation of the Convention.*

16.34 *For any Party affected by Decision 16.33 that has been party to the Convention for less than 20 years, should exceptional circumstances prevent them from adopting appropriate measures for effective implementation of the Convention, that Party should advise the Secretariat in writing of those exceptional circumstances by SC66.*

- 16.35 *Parties whose legislation is in Category 1 under the National Legislation Project are encouraged to provide technical or financial assistance to one or more Parties whose legislation is in Category 2 or 3 under the National Legislation Project.*

Directed to the Standing Committee

- 16.36 *The Standing Committee shall review at its 65th, 66th and 67th meetings the progress of Parties in adopting appropriate measures for effective implementation of the Convention.*
- 16.37 *At its 66th meeting, the Standing Committee shall recommend a suspension of commercial trade in specimens of CITES-listed species with those Parties affected by Decision 16.33 that have failed to adopt appropriate measures for the effective implementation of the Convention. This recommendation shall take effect 60 days after the conclusion of SC66. Should exceptional circumstances prevent any Party affected by Decision 16.33 that has been party to the Convention for less than 20 years from enacting legislation by SC66, the Standing Committee shall review the situation and determine appropriate measures for addressing it. Any Party affected by Decision 16.33 that has been party to the Convention for 20 years or more and has failed to adopt appropriate measures or agree an appropriate legislative timetable with the Secretariat by SC66 will be subject to recommendations to suspend trade. The Standing Committee will not make recommendations to suspend trade where a Party has submitted its final draft or an appropriate legislative timetable to the Secretariat by the SC66 deadline and it is pending action from the Secretariat.*

Directed to the Secretariat

- 16.38 *The Secretariat shall:*
- a) *compile and analyse the information submitted by Parties on measures adopted before the 17th meeting of the Conference of the Parties (CoP17) to fulfil the requirements laid down in the text of the Convention and Resolution Conf. 8.4 (Rev. CoP15);*
 - b) *subject to external funding, provide legal advice and assistance to Parties on the development of appropriate measures for effective implementation of the Convention, including legislative guidance for and training of CITES authorities, legal drafters, policymakers, the judiciary, parliamentarians and other relevant government officials responsible for the formulation and adoption of CITES-related legislation;*
 - c) *cooperate, in the provision of legislative assistance, with the legal programmes of United Nations bodies and intergovernmental organizations, such as the Food and Agriculture Organization of the United Nations, UNEP, the World Bank and regional development banks, as well as regional organizations such as the Africa, Caribbean and Pacific Secretariat, the Amazon Cooperation Treaty Organization, the Association of South East Asian Nations, the League of Arab States, the Organization of American States and the Pacific Regional Environment Programme;*
 - d) *report at the 65th, 66th and 67th meetings of the Standing Committee on Parties' progress in adopting appropriate measures for effective implementation of the Convention and, if necessary, recommend the adoption of appropriate compliance measures, including recommendations to suspend trade;*
 - e) *identify for the Standing Committee any countries that require attention as a priority under the National Legislation Project; and*
 - f) *report at CoP17 on progress made with regard to the implementation of Resolution Conf. 8.4 (Rev. CoP15) and Decisions 16.33-16.38.*

Compilation and analysis of legislative information

6. The updated legislative status chart contained in the Annex to this document provides a summary of the legislative information compiled and analysed by the Secretariat to date. The chart is divided into the following six sections: (i) Parties with legislation in Category 1; (ii) countries identified as requiring attention as a priority; (iii) Parties with legislation in Category 2 or 3, which have been party to the Convention for 20 years or more; (iv) Parties with legislation in Category 2 or 3, which have been party to the Convention for

less than 20 years but more than five years; (v) Parties which have been party to the Convention for 5 years or less; and (vi) dependent territories.

7. The following information provided for each Party or dependent territory whose legislation has not yet been placed in Category 1: Party or dependent territory; entry into force of the Convention (only for Parties); Category; Plan; Draft; Submitted for enactment; Progress summary; and Next steps/needs.
8. Parties identified as requiring attention as a priority have been included not only in section (ii) but also section (iii) or (iv), as appropriate, in order to clearly indicate how they are affected by Decision 16.37. The Secretariat has not identified for the Standing Committee, at this time, any additional countries that require attention as a priority.
9. In accordance with Decisions 16.33 and 16.37, Parties included in section (iii) or (iv) will be subject to recommendations to suspend commercial trade in specimens of CITES-listed species if they have failed to adopt appropriate measures or agree an appropriate legislative timetable with the Secretariat by the 66th meeting of the Standing Committee (SC66, Geneva, August 2015). In accordance with Decision 16.34, a Party included in section (iv) should advise the Secretariat in writing before SC66 of any exceptional circumstances which prevent it from adopting appropriate measures for effective implementation of the Convention.
10. Parties included in section (v) are not affected by Decision 16.37.
11. Shortly after the present meeting, the Secretariat will write to individual Parties affected by compliance measures under Decisions 16.33, 16.34 and 16.37 urging them to submit information to the Secretariat, at least 60 days before SC66, on the adoption of appropriate measures for effective implementation of the Convention, an appropriate legislative timetable which might be agreed with the Secretariat or, where relevant, any exceptional circumstances which prevent them from adopting appropriate measures for effective implementation of the Convention.

Legislation and enforcement

12. Legislation is key to effective wildlife trade controls. The significance of ensuring timely legislative incorporation of amendments to the CITES Appendices was recently drawn to the attention of many Parties and the Secretariat in connection with the legal authority needed to tackle (in source, transit and destination countries) the illegal trade in rosewood from Madagascar. As indicated in document SC65 Doc. 48.1 on Malagasy ebonyes and rosewoods [see paragraphs 15-17 and the recommendation contained in paragraph 23 e)], the Secretariat has asked a number of Parties whether they have yet incorporated into their legislation the amendments to the Appendices adopted at CoP16. These consultations have shown that such incorporation has not yet occurred in some jurisdictions and therefore adequate legal authority is lacking to prevent illegal movements of rosewood, to seize illegal shipments and to prosecute relevant offenders. This gap has highlighted the importance of including in CITES-implementing legislation a mechanism for incorporating within the relevant 90-day period amendments to Appendices I and II adopted by the Conference of the Parties (pursuant to Article XV of the Convention) or species submitted by an individual Party for inclusion in Appendix III (pursuant to Article XVI of the Convention).
13. In addition to the above, the Secretariat has highlighted in paragraphs 8 and 30 of document SC65 Doc. 38 on Asian big cats the crucial necessity for Parties to have appropriate legislation in place to enforce the provisions of the Convention.

Legal advice and assistance

Secretariat

14. With external funds provided by the European Union and Japan, the Secretariat was able to undertake legislative assistance missions to Dominica, Grenada, Lesotho, Mozambique, Saint Lucia, Saint Vincent and the Grenadines, Swaziland and Trinidad and Tobago. The Secretariat is grateful to the Management Authorities in each of these countries for their technical and logistical support and kind hospitality. During each mission, the CITES Management Authority organized individual or joint meetings with government lawyers, sectoral agencies, law enforcement officials or other interested stakeholders. The Secretariat was particularly glad for the opportunity to meet with legislative drafters and public prosecutors in a number of countries. A similar mission has been requested by and is planned in Angola, with external funds provided by the United Kingdom of Great Britain and Northern Ireland. Such missions to Lusophone countries also

seem to be of interest to Guinea-Bissau, Cape Verde and Sao Tome and Principe, if funds can be identified.

15. The availability of external funds from the European Union and Japan also enabled the Secretariat to conclude small scale funding agreements (SSFAs) with the CITES Management Authorities in the Maldives, Mozambique and Suriname under which a local legal consultant could assist them with a review of existing legislation, the preparation of draft legislation, the organization of consultations with relevant agencies or other stakeholders and the incorporation of comments into revised draft legislation. Similar SSFAs are under discussion with Lesotho and Saint Vincent and the Grenadines and will soon be initiated with Saint Lucia, Trinidad and Tobago, Dominica and Grenada, as well as other Parties which have made formal requests for legislative assistance. Although every government has the in-house expertise to prepare legislation, the legal drafting offices in many governments are understaffed and burdened with a large number of pending requests. A modest amount of financial assistance can help these governments secure the services of known local lawyers with drafting expertise. Following the preparation of finalized draft legislation under an SSFA, CITES Management Authorities usually have the human resources to submit and promote such legislation for enactment.
16. National legislation is one of the priority matters addressed in the Secretariat's communications with and provision of implementation assistance to new Parties.
17. To date, regional legislative workshops or a series of country missions have been organized for francophone Africa, Anglophone Africa, North and Sahelian Africa, Latin America, the Caribbean and Southeast Asia. Two CITES workshops in the Himalayan region on medicinal plant trade offered an opportunity to address CITES legislation. Most recently, the Secretariat was able to discuss CITES legislation with national government officials during a regional workshop in Central America on strengthening the capacity of CITES authorities (Panama City, May 2013), in the margins of a regional workshop in Central Africa on anti-money laundering and financial crime tools to combat poaching organized by the World Bank (Libreville, August 2013) and a national seminar on boosting legal capacity to tackle different types of illicit trade organized by INTERPOL (Asuncion, June 2014).
18. Oral and written comments on draft or enacted legislation continue to be provided by the Secretariat and discussed with requesting Parties. A number of bilateral discussions on revised legislative analyses, which could result in legislation being placed in Category 1, are underway.
19. The Regulatory Services and Knowledge Management and Outreach Services teams in the Secretariat are collaborating on the use of electronic and other technological means to enhance and monitor the delivery of legislative assistance. Secretariat is planning to hire a short-term consultant to assist it with finalizing a website section on legislation, concluding additional small scale funding agreements for legislative assistance and progressing bilateral legislative consultations with Parties more rapidly. If any Party has the ability to second a lawyer to the Secretariat to assist with implementation of the NLP, preferably someone with English and French language skills, this would be much appreciated.

Bilateral assistance

20. In the context of a training programme on "Prevention of Illegal Wildlife Trade in Oceania", New Zealand provided CITES legislation assistance to Samoa, the Solomon Islands and Palau during the period 2010-2013. The United States of America has provided recent support to Chile and Gabon in their efforts to develop CITES-implementing legislation. The Secretariat supplied the donor and recipient countries mentioned above with technical backstopping help (e.g. copies of earlier draft legislation or examples of other CITES legislation).

UN and IGO assistance

21. Earlier this year, UNODC advised the Secretariat that it wished to contract a local consultant and an international consultant to assist it with the review of wildlife and forest crime legislation and enforcement structures in the United Republic of Tanzania. The Secretariat sought UNEP's assistance in identifying a local legal expert in the United Republic of Tanzania and his contact details were shared with UNODC. UNDP is also initiating a wildlife law enforcement project in the United Republic of Tanzania, and the Secretariat provided a briefing on the National Legislation Project when a UNDP representative visited its offices. UNDP, UNODC and CITES will continue to liaise and coordinate on their legislation-related work in the country. In December 2013, in the margins of an ICCWC workshop, UNODC briefed the Secretariat on its SHERLOC (SHaring Electronic Resource and Laws against Organized Crime) database and mentioned

that wildlife and forest crime was one of the crime types covered by the system. The Secretariat indicated that it would be very interested in sharing relevant legislative and case law information for inclusion in SHERLOC and identified other potential sources of such information that might be tapped by UNODC.

22. CITES legislation is a key item for analysis and potential follow-up action in countries which have implemented the ICCWC Wildlife and Forest Crime Analytic Toolkit (e.g. Bangladesh) or plan to implement the ICCWC Toolkit (e.g. Angola, Gabon, Nepal). Part one of the ICCWC Toolkit addresses legislation relevant to wildlife and forest offences and other illicit activities.
23. Discussions are ongoing with the Food and Agriculture Organization of the United Nations (FAO) on how best to join forces with their Development Law Service in countries of mutual interest or concern regarding legislative drafting assistance. The aim is to identify opportunities to take advantage of each other's work or to plan joint work in specific countries, using available funds.
24. The Asian Development Bank (ADB) is undertaking wildlife law enforcement projects in several interested countries and has agreed to support, where needed, efforts to develop CITES-implementing legislation. In this context, the Secretariat briefed two ADB colleagues on the National Legislation Project during a recent teleconference and provided suggestions about possible local and regional legal consultants.

Other assistance

25. The Secretariat understands that IUCN has been providing legislative drafting assistance to Afghanistan, Pakistan and Palau.
26. A representative of the 'Stop Ivory' initiative visited the Secretariat and was given a briefing on the National Legislation Project, in connection with their planned work to provide interested Parties with certain legislative assistance.

Support to prosecutors and the judiciary

27. As mentioned above, the Secretariat has been able to discuss CITES with prosecutors during many of its recent country missions.
28. In addition, the Secretariat has participated in the following events: a workshop on legal standards for forensic use of DNA barcode data (Pretoria, June 2013); an Amazonian Cooperation Treaty Organization (ACTO) workshop for judges and prosecutors (Lima, July 2013); the regional workshop in Central Africa on anti-money laundering and financial crime tools to combat poaching mentioned in paragraph 17 above; a meeting of South America environmental prosecutors (Buenos Aires, August 2013) organized by the Secretariat of the Basel Convention; a meeting of Eurojust (European prosecutors' network) which considered wildlife crime for the first time (The Hague, November 2013); a meeting of the Central America prosecutors' network (San José, December 2013); an ICCWC workshop on enforcement indicators, including the legal and legislative aspects of such work (Vienna, December 2013); and the INTERPOL seminar on boosting legal capacity to tackle different types of illicit trade mentioned in paragraph 17 above. The Secretariat was unfortunately unable to participate in a meeting on CITES for Central America judges organized with support from the United States Department of Interior (Panama City, June 2014).
29. The Gabon and Paraguay meetings mentioned in paragraphs 17 and 31 above showed the importance of ensuring that wildlife crime is treated as serious crime, with associated penalties, and is expressly included in legislation related to organized crime, financial crime, asset recovery and special investigative techniques such as controlled deliveries, the use of undercover agents and communication surveillance. In this connection, on 25 July 2013, the United Nations Economic and Social Council (ECOSOC) adopted Resolution 2013/40 which encourages Member States to make illicit trafficking in protected species of wild fauna and flora involving organized crime groups a serious crime. The adoption of the Resolution (which stemmed from a recommendation adopted at the 22nd meeting of the UN Crime Commission held in Vienna during April 2013) was an important step in ensuring that the full force of the UN Convention against Transnational Organized Crime can be applied to effectively tackle transnational organized wildlife and forest crime.

Recommendations

30. The Secretariat recommends that the Standing Committee take note of the information contained in this report and a related oral update at the present meeting.
31. The Standing Committee may wish to stress the need for individual Parties affected by compliance measures under Decisions 16.33, 16.34 and 16.37 to submit information to the Secretariat, at least 60 days before SC66, on the adoption of appropriate measures for effective implementation of the Convention.
32. The Secretariat further recommends that the Standing Committee encourage donor States and organizations to direct any technical or financial assistance they might be able to provide to Parties which are shown in the Annex to have an identified or possible need for drafting assistance, and that a preference be given to enabling the relevant CITES Management Authority to contract a local legal expert for such work.

STATUS OF LEGISLATIVE PROGRESS FOR IMPLEMENTING CITES
(UPDATED ON 30 JUNE 2014)

PARTIES WITH LEGISLATION IN CATEGORY 1

| | |
|----------------------------------|--|
| Argentina | Lithuania |
| Australia | Luxembourg |
| Austria | Madagascar |
| Bahamas | Malaysia |
| Barbados | Malta |
| Belgium | Mexico |
| Brazil | Monaco |
| Brunei Darussalam | Namibia |
| Bulgaria | Netherlands |
| Cambodia | New Zealand |
| Cameroon | Nicaragua |
| Canada | Nigeria |
| China | Norway |
| Colombia | Panama |
| Costa Rica | Papua New Guinea |
| Croatia | Peru |
| Cuba | Poland |
| Cyprus | Portugal |
| Czech Republic | Qatar |
| Democratic Republic of the Congo | Republic of Korea |
| Denmark | Romania |
| Dominican Republic | Russian Federation |
| Egypt | San Marino |
| El Salvador | Saudi Arabia |
| Equatorial Guinea | Senegal |
| Estonia | Singapore |
| Ethiopia | Slovakia |
| Fiji | Slovenia |
| Finland | South Africa |
| France | Spain |
| Germany | Sweden |
| Greece | Switzerland |
| Guatemala | Thailand |
| Honduras | Turkey |
| Hungary | Ukraine |
| Iceland | United Arab Emirates |
| Indonesia | United Kingdom of Great Britain and Northern |
| Iran (Islamic Republic of) | Ireland |
| Ireland | United States of America |
| Italy | Uruguay |
| Jamaica | Vanuatu |
| Japan | Viet Nam |
| Latvia | Yemen |
| Liechtenstein | Zimbabwe |

Table 1: Parties requiring attention as a priority

| Party | Entry into force of the Convention | Category | Plan | Draft | Submitted for enactment | Progress summary | Next steps/needs |
|----------------------------------|------------------------------------|----------|------|-------|-------------------------|--|--|
| Algeria | 21.02.1984 | 2 | Yes | Yes | | Draft legislation prepared; revised draft legislation soon to be submitted to Secretariat | Finalization and submission of draft legislation |
| Belize | 21.09.1981 | 3 | Yes | Yes | | Draft legislation prepared; draft now being revised with input from SA | Finalization and submission of draft legislation |
| Bolivia (Plurinational State of) | 04.10.1979 | 2 | Yes | Yes | | Comprehensive draft legislation prepared but no recent information on status | Finalization and submission of draft legislation; possible need for drafting assistance |
| Comoros | 21.02.1995 | 3 | Yes | Yes | | Draft legislation prepared; earlier legal drafting team was dissolved due to personnel changes and is being re-established in relevant Ministry; formal request for assistance | Finalization and submission of draft legislation; drafting assistance needed |
| Djibouti | 07.05.1992 | 3 | Yes | No | | Previous engagement with Permanent Mission in Geneva and Ministry of Environment but not MA; offer of Secretariat mission accepted but no date set and no recent information on status | Preparation of draft legislation; additional political engagement and drafting assistance needed |
| Guinea-Bissau | 14.08.1990 | 3 | Yes | Yes | | Draft legislation prepared; current priority seems given to forest policy and legislation; formal request for assistance; Secretariat mission planned earlier, as part of support to Lusophone countries, but MZ and AO placed ahead | Finalization and submission of draft legislation, drafting assistance needed |
| Kazakhstan | 19.04.2000 | 2 | | | | Enabling and implementing legislation enacted | Agreement between KZ and Secretariat on revised legislative analysis, including possible Category 1 status |
| Kenya | 13.03.1979 | 2 | | | | Enabling wildlife legislation enacted | Agreement between KE and Secretariat on revised legislative analysis, including need for implementing legislation to achieve Category 1 status; internal or external drafting assistance seems available |

| Party | Entry into force of the Convention | Category | Plan | Draft | Submitted for enactment | Progress summary | Next steps/needs |
|-----------------------------|------------------------------------|----------|------|-------|-------------------------|--|--|
| Liberia | 09.06.1981 | 3 | Yes | Yes | Yes? | New wildlife legislation reported in media as submitted to Parliament - no copy yet provided to Secretariat | Provision of any submitted legislation to Secretariat; enactment, followed by agreement between LR and Secretariat on revised legislative analysis |
| Mauritania | 11.06.1998 | 3 | Yes | No | | Commitment to prepare draft legislation; formal request for assistance | Preparation of draft legislation; drafting assistance needed |
| Mozambique | 23.06.1981 | 2 | Yes | | | CITES-specific legislation enacted; text reviewed by MZ and Secretariat; subsequent written Secretariat comments identified gaps; small-scale funding agreement concluded between MZ and Secretariat | Under SSFA, local legal consultant reviewing existing legislation, preparing new draft legislation to address gaps, assisting with national consultative process and preparing final draft legislation |
| Pakistan | 19.07.1976 | 3 | | | | CITES law enacted at federal level; implementing regulations under development with external assistance | Agreement between PK and Secretariat on revised legislative analysis |
| Paraguay | 13.02.1977 | 2 | | | | Enabling and implementing legislation enacted; texts reviewed by PY and Secretariat | Agreement between PY and Secretariat on revised legislative analysis, including need for penalization and confiscation provisions to achieve Category 1 status |
| Rwanda | 18.01.1981 | 3 | Yes | Yes | | Wildlife Policy adopted; earlier draft legislation was to be aligned with Policy and submitted in late 2013 but no recent information on status | Finalization and submission of final draft legislation |
| Somalia | 02.03.1986 | 3 | Yes | No | | List of CITES-relevant legislation provided earlier; formal request for assistance | Preparation of draft legislation; drafting assistance needed |
| United Republic of Tanzania | 27.02.1980 | 2 | Yes | Yes | | CITES legislation enacted for Tanzania mainland; comprehensive draft legislation prepared for Zanzibar; draft reviewed by TZ and Secretariat; subsequent written Secretariat comments identified points for clarification and possible gaps; related consultations scheduled between officials from TZ mainland and Zanzibar | Finalization and submission of draft legislation for Zanzibar; internal and external drafting assistance seems available, if needed |

| Party | Entry into force of the Convention | Category | Plan | Draft | Submitted for enactment | Progress summary | Next steps/needs |
|------------------------------------|---|-----------------|-------------|--------------|--------------------------------|--|--|
| Venezuela (Bolivarian Republic of) | 22.01.1978 | 2 | Yes | Yes | | Draft CITES-implementing legislation to have been enacted in December 2013 but no recent information on status | Submission of draft legislation or enactment, followed by agreement between VZ and Secretariat on revised legislative analysis |

Table 2: Parties with legislation in Category 2 or 3, which had been party to the Convention for twenty years or more as of March 2013

| Party | Entry into force of the Convention | Category | Plan | Draft | Submitted for enactment | Progress summary | Next steps/needs |
|----------------------------------|------------------------------------|----------|------|-------|-------------------------|--|---|
| Afghanistan | 28.01.1986 | 3 | Yes | Yes | | Enabling legislation (environmental) enacted; implementing regulations were prepared and later revised but no recent information on status | Finalization and submission of draft legislation; external drafting assistance seems available, if needed |
| Algeria | 21.02.1984 | 2 | Yes | Yes | | Draft legislation prepared; revised draft legislation should be submitted to Secretariat soon | Finalization and submission of draft legislation |
| Bangladesh | 18.02.1982 | 2 | | | | Enabling legislation enacted; implementing regulations under consideration | Agreement between BD and Secretariat on revised legislative analysis, including need for implementing regulations to achieve Category 1 status |
| Belize | 21.09.1981 | 3 | Yes | Yes | | Draft legislation prepared earlier; text now being revised with input from SA | Finalization and submission of draft legislation |
| Benin | 28.05.1984 | 2 | Yes | Yes | | Draft legislation prepared but no recent information on status | Finalization and submission of draft legislation; drafting assistance possibly needed |
| Bolivia (Plurinational State of) | 04.10.1979 | 2 | Yes | Yes | | Comprehensive draft legislation prepared but no recent information on status | Finalization and submission of draft legislation; possible need for drafting assistance |
| Botswana | 12.02.1978 | 2 | Yes | Yes | | CITES legislation for terrestrial wildlife and for plants enacted; draft amendments to wildlife act, covering fish species, shared with Secretariat for comments | Review by BW and Secretariat of fish-related provisions of draft wildlife legislation and agreement on any needed revisions; finalization and submission of draft legislation |
| Burkina Faso | 11.01.1990 | 2 | Yes | No | | Commitment to draft legislation but no recent information on status | Preparation of draft legislation; drafting assistance possibly needed |
| Burundi | 06.11.1988 | 3 | | | | CITES legislation enacted | Agreement between BI and Secretariat on revised legislative analysis |
| Central African Republic | 25.11.1980 | 3 | Yes | No | | Commitment to draft legislation but no recent information on status | Preparation of draft legislation; drafting assistance possibly needed |
| Chad | 03.05.1989 | 2 | Yes | Yes | | Draft legislation prepared; information on status requested during recent visit of TD delegation to Secretariat | Finalization and submission of draft legislation; drafting assistance possibly needed |

| Party | Entry into force of the Convention | Category | Plan | Draft | Submitted for enactment | Progress summary | Next steps/needs |
|----------|------------------------------------|----------|------|-------|-------------------------|--|---|
| Chile | 01.07.1975 | 2 | Yes | Yes | Yes | Draft legislation prepared and submitted to Parliament; parliamentary status recently reviewed and decision taken to revise/update bill before progressing it to enactment | Revision of submitted legislative bill and enactment, followed by agreement between CL and the Secretariat on revised legislative analysis |
| Congo | 01.05.1983 | 2 | Yes | Yes | | Draft legislation prepared but no recent information on status; copies of CITES-relevant legislation provided to Secretariat (protected domestic species, wildlife and protected areas, forests) | Finalization and submission of draft legislation; drafting assistance possibly needed |
| Djibouti | 07.05.1992 | 3 | Yes | No | | Previous engagement with Permanent Mission in Geneva and Ministry of Environment but not MA; offer of Secretariat mission accepted but no date set and no recent information | Preparation of draft legislation; additional political engagement and drafting assistance needed |
| Ecuador | 01.07.1975 | 2 | Yes | Yes | | Draft legislation prepared; CITES workshop organized in the country and follow-up underway | Finalization and submission of draft legislation; additional political engagement needed, particularly as constitution now under review/revision and this may affect progress |
| Gabon | 14.05.1989 | 2 | Yes | No | | Commitment to draft legislation; existing legislation reviewed by GA and Secretariat; legislative guidance material and examples provided by Secretariat | Preparation of draft legislation; internal and external drafting assistance seems available |
| Gambia | 24.11.1977 | 2 | Yes | Yes | | Draft legislation prepared but no recent information on status | Finalization and submission of draft legislation; drafting assistance possibly needed |
| Ghana | 12.02.1976 | 3 | Yes | Yes | | Comprehensive draft and revised draft legislation prepared; personnel changes have caused delay in submission for enactment; media article indicates government is reviewing forest and wildlife policy, which may have implications for draft legislation | Finalization and submission of draft legislation; drafting assistance possibly needed |

| Party | Entry into force of the Convention | Category | Plan | Draft | Submitted for enactment | Progress summary | Next steps/needs |
|---------------|------------------------------------|----------|------|-------|-------------------------|---|--|
| Guinea | 20.12.1981 | 2 | Yes | Yes | | Draft legislation prepared but no recent information on status; enactment of legislation is key element in current CITES compliance matter concerning Guinea | Finalization and submission of draft legislation; drafting assistance possibly needed |
| Guinea-Bissau | 14.08.1990 | 3 | Yes | Yes | | Draft legislation prepared but current priority on forest policy and legislation; formal request for assistance; Secretariat mission planned earlier, as part of support to Lusophone countries, but MZ and AO placed ahead | Finalization and submission of draft legislation, drafting assistance needed |
| Guyana | 25.08.1977 | 2 | Yes | Yes | | Comprehensive draft and revised draft legislation prepared; recent enactment of domestic wildlife management and conservation regulations (under environmental protection law); enactment of CITES legislation is to follow | Finalization and submission of draft legislation |
| India | 18.10.1976 | 2 | | | | Enabling and implementing legislation enacted | Agreement between IN and Secretariat on revised legislative analysis, including possible Category 1 status |
| Israel | 17.03.1980 | 2 | | | | Enabling and implementing legislation enacted | Agreement between IL and Secretariat on revised legislative analysis, including possible Category 1 status |
| Jordan | 14.03.1979 | 2 | | | | CITES legislation enacted; text reviewed by JO and Secretariat and agreed that an expert legal translation into English would be prepared to allow clarification of several matters | Agreement between JO and Secretariat on revised legislative analysis, including possible Category 1 status |
| Kenya | 13.03.1979 | 2 | | | | Enabling wildlife legislation enacted | Agreement between KE and Secretariat on revised legislative analysis, including need for implementing legislation to achieve Category 1 status; internal or external drafting assistance seems available |

| Party | Entry into force of the Convention | Category | Plan | Draft | Submitted for enactment | Progress summary | Next steps/needs |
|------------|------------------------------------|----------|------|-------|-------------------------|--|--|
| Liberia | 09.06.1981 | 3 | Yes | Yes | Yes? | New wildlife legislation reported in media as submitted to Parliament - no copy yet provided to Secretariat | Provision of any submitted legislation to Secretariat; enactment, followed by agreement between LR and Secretariat on revised legislative analysis |
| Malawi | 06.05.1982 | 2 | Yes | Yes | | Comprehensive draft legislation prepared but no recent information on status | Finalization and submission of draft legislation |
| Mauritius | 27.07.1975 | 2 | Yes | Yes | | Comprehensive draft legislation prepared; text reviewed by MU and Secretariat; subsequent written Secretariat comments identified points for clarification and possible gaps; further development underway | Finalization and submission of draft legislation |
| Morocco | 14.01.1976 | 2 | Yes | Yes | | Comprehensive CITES enabling legislation enacted; implementing legislation under development | Finalization and submission of draft implementing legislation |
| Mozambique | 23.06.1981 | 2 | Yes | | | CITES-specific legislation enacted; text reviewed by MZ and Secretariat; subsequent written Secretariat comments identified gaps; small-scale funding agreement concluded between MZ and Secretariat | Under SSFA, local legal consultant reviewing existing legislation, preparing new draft legislation to address gaps, assisting with national consultative process and preparing final draft legislation |
| Nepal | 16.09.1975 | 3 | Yes | Yes | Yes | Draft legislation prepared and submitted several years ago but still pending enactment | Review by NP and Secretariat and possible revision/updating of submitted legislation might facilitate its enactment |
| Niger | 07.12.1975 | 3 | Yes | Yes | | Comprehensive draft legislation prepared; text reviewed by NE and Secretariat; no recent information on status | Finalization and submission of draft legislation; drafting assistance possibly needed |
| Pakistan | 19.07.1976 | 3 | | | | CITES law enacted at federal level; implementing regulations under development with external assistance | Agreement between PK and Secretariat on revised legislative analysis |
| Paraguay | 13.02.1977 | 2 | | | | Enabling and implementing legislation enacted; texts reviewed by PY and Secretariat | Agreement between PY and Secretariat on revised legislative analysis, including need for penalization and confiscation provisions to achieve Category 1 status |

| Party | Entry into force of the Convention | Category | Plan | Draft | Submitted for enactment | Progress summary | Next steps/needs |
|----------------------------------|------------------------------------|----------|------|-------|-------------------------|---|--|
| Philippines | 16.11.1981 | 2 | | | | CITES enabling and implementing legislation enacted | Agreement between PH and Secretariat on revised legislative analysis, including possible Category 1 status |
| Rwanda | 18.01.1981 | 3 | Yes | Yes | | Wildlife Policy adopted; earlier draft legislation was to be aligned with Policy and submitted in late 2013 but no recent information on status | Finalization and submission of final draft legislation |
| Saint Lucia | 15.03.1983 | 2 | Yes | Yes | | CITES enabling legislation enacted; implementing legislation still under development; legislative status and gaps reviewed by LC and Secretariat; formal request for assistance | Finalization and submission of draft implementing legislation; drafting assistance needed |
| Saint Vincent and the Grenadines | 28.02.1989 | 2 | Yes | Yes | | Comprehensive draft legislation prepared; existing and draft legislation reviewed by VC and Secretariat; formal request for assistance; draft small scale funding agreement under review by VC | Under draft SSFA local legal consultant to review existing legislation, prepare new draft legislation to address gaps, assist with national consultative process and prepare final draft legislation |
| Seychelles | 09.05.1977 | 3 | Yes | Yes | | A number of draft legislative texts have been prepared; most recently, CITES was to be included in draft biodiversity legislation but no recent information on status | Finalization and submission of draft legislation |
| Somalia | 02.03.1986 | 3 | Yes | No | | List of CITES-relevant legislation provided earlier; formal request for assistance | Preparation of draft legislation; drafting assistance needed |
| Sri Lanka | 02.08.1979 | 3 | Yes | Yes | | Draft and comprehensive revised draft legislation prepared and provided to legal drafters; text reviewed by LK and Secretariat and points for clarification identified; no recent information on status | Finalization and submission of draft legislation |
| Sudan | 24.01.1983 | 2 | Yes | Yes | Yes | Draft legislation submitted to parliament several years ago but no recent information on status; personnel changes have occurred in MA and situation needs to be revisited | Review by SD and Secretariat and possible revision/updating of submitted legislation might facilitate its enactment |

| Party | Entry into force of the Convention | Category | Plan | Draft | Submitted for enactment | Progress summary | Next steps/needs |
|------------------------------------|------------------------------------|----------|------|-------|-------------------------|--|---|
| Suriname | 15.02.1981 | 2 | Yes | Yes | | Final draft legislation developed under small scale funding agreement between SR and Secretariat; under consideration for submission | Enactment, followed by agreement between SR and Secretariat on revised legislative analysis |
| Togo | 21.01.1979 | 2 | | | | Enabling and implementing legislation enacted | Agreement between TG and Secretariat on revised legislative analysis |
| Trinidad and Tobago | 18.04.1984 | 2 | Yes | Yes | | Comprehensive draft legislation prepared; existing and draft legislation reviewed by TT and Secretariat; formal request for assistance | Finalization and submission of draft legislation; drafting assistance needed |
| Tunisia | 01.07.1975 | 2 | Yes | Yes | | Comprehensive draft and revised draft legislation prepared; text reviewed by TN and Secretariat; government legal advisor now reviewing text | Finalization and submission of draft legislation |
| Uganda | 16.10.1991 | 3 | Yes | Yes | Yes | Wildlife Policy adopted; draft legislation aligned with policy and submitted to Cabinet | Enactment, followed by agreement between UG and Secretariat on revised legislative analysis |
| United Republic of Tanzania | 27.02.1980 | 2 | Yes | Yes | | CITES legislation enacted for Tanzania mainland; comprehensive draft legislation prepared for Zanzibar; draft reviewed by TZ and Secretariat; subsequent written Secretariat comments identified points for clarification and possible gaps; related consultations scheduled between officials from TZ mainland and Zanzibar | Finalization and submission of draft legislation for Zanzibar; internal and external drafting assistance seems available, if needed |
| Venezuela (Bolivarian Republic of) | 22.01.1978 | 2 | Yes | Yes | | Comprehensive draft CITES-implementing legislation to have been enacted in December 2013 but no recent information on status | Submission of draft legislation or enactment, followed by agreement between VZ and Secretariat on revised legislative analysis |
| Zambia | 22.02.1981 | 2 | Yes | No | | CITES legislation enacted; subsequent review by ZM and Secretariat identified certain legislative gaps; ZM has been developing draft legislation to address gaps but no recent information on status | Finalization and submission of draft supplemental legislation |

Table 3: Parties with legislation in Category 2 or 3, which had been party to the Convention for less than twenty years and more than five years as of March 2013

| Party | Entry into force of the Convention | Category | Plan | Draft | Submitted for enactment | Progress summary | Next steps/needs |
|------------------------|------------------------------------|----------|------|-------|-------------------------|---|--|
| Albania | 25.09.2003 | 3 | | | | CITES legislation enacted; no English translation provided to Secretariat | Translation of legislation into one of CITES working languages (assistance possibly needed) and agreement between AL and Secretariat on revised legislative analysis |
| Antigua and Barbuda | 06.10.1997 | 3 | Yes | Yes | | Comprehensive draft legislation prepared but no recent information on status | Finalization and submission of draft legislation; drafting assistance possibly needed |
| Armenia | 21.01.2009 | 3 | | | | CITES legislation enacted; no English translation provided to Secretariat | Translation of legislation into one of CITES working languages (assistance possibly needed) and agreement between AL and Secretariat on revised legislative analysis |
| Azerbaijan | 21.02.1999 | 2 | | | | CITES legislation enacted; English translation provided to Secretariat | Agreement between AZ and Secretariat on revised legislative analysis, including possible Category 1 status |
| Belarus | 08.11.1995 | 2 | | | | Enabling and implementing legislation enacted; English translation provided to Secretariat | Agreement between BY and Secretariat on revised legislative analysis, including possible Category 1 status |
| Bhutan | 13.11.2002 | 3 | Yes | Yes | | Enabling legislation (forest and wildlife) enacted; review by BT and Secretariat of existing and planned legislation; draft implementing legislation was to be developed but personnel changes have prompted additional internal review in BT | Agreement by BT and Secretariat on legislative analysis of existing legislation and need for supplemental legislation |
| Bosnia and Herzegovina | 21.04.2009 | 3 | No | No | | Commitment to prepare draft legislation; formal request for assistance | Preparation of draft legislation; drafting assistance needed |
| Cape Verde | 08.11.2005 | 3 | Yes | No | | Commitment to prepare draft legislation; formal request for assistance; one of target countries for Secretariat assistance to Lusophone countries | Preparation of draft legislation; drafting assistance needed |
| Comoros | 21.02.1995 | 3 | Yes | Yes | | Draft legislation prepared; formal request for assistance | Finalization and submission of draft legislation; drafting assistance needed |

| Party | Entry into force of the Convention | Category | Plan | Draft | Submitted for enactment | Progress summary | Next steps/needs |
|----------------------------------|------------------------------------|----------|------|-------|-------------------------|--|--|
| Côte d'Ivoire | 19.02.1995 | 3 | Yes | Yes | | Draft legislation prepared; formal request for assistance | Finalization and submission of draft legislation; drafting assistance needed |
| Dominica | 02.11.1995 | 3 | Yes | Yes | | Draft legislation prepared; existing and draft legislation reviewed by DM and Secretariat; formal request for assistance | Finalization and submission of draft legislation; drafting assistance needed |
| Eritrea | 22.01.1995 | 2 | Yes | Yes | | Draft legislation prepared several years ago but no recent information on status | Finalization and submission of draft legislation |
| Georgia | 12.12.1996 | 2 | | | | Enabling and implementing legislation enacted | Agreement between GE and Secretariat on revised legislative analysis, including possible Category 1 status |
| Grenada | 28.11.1999 | 3 | Yes | Yes | | Draft legislation prepared; existing and draft legislation reviewed by GD and Secretariat; formal request for assistance | Finalization and submission of draft legislation; drafting assistance needed |
| Kazakhstan | 19.04.2000 | 2 | | | | Enabling and implementing legislation enacted | Agreement between KZ and Secretariat on revised legislative analysis, including possible Category 1 status |
| Kuwait | 10.11.2002 | 2 | Yes | Yes | | CITES legislation enacted; subsequent review by KW and Secretariat identified certain gaps; additional legislation developed; subsequent written Secretariat comments on draft and supporting material provided; currently in process of enactment | Enactment, followed by agreement between KW and Secretariat on revised legislative analysis including possible Category 1 status |
| Kyrgyzstan | 02.09.2007 | 2 | | | | CITES legislation enacted | Agreement between KG and Secretariat on revised legislative analysis, including possible Category 1 status |
| Lao People's Democratic Republic | 30.05.2004 | 3 | | | | CITES legislation enacted | Agreement between LA and Secretariat on revised legislative analysis |

| Party | Entry into force of the Convention | Category | Plan | Draft | Submitted for enactment | Progress summary | Next steps/needs |
|---------------------|------------------------------------|----------|------|-------|-------------------------|--|---|
| Lesotho | 30.12.2003 | 3 | Yes | No | | Enabling legislation (environmental) enacted; external legislative assessment; review by LS and Secretariat of existing CITES-related legislation; formal request for assistance; draft outline of small scale funding agreement under consideration by LS | Conclusion of SSFA for legal consultant (local, if possible) to update past reviews of existing legislation, prepare new draft legislation to address gaps, assist with national consultative process and prepare final draft legislation |
| Libya | 28.04.2003 | 3 | Yes | Yes | | Draft legislation prepared but no English translation yet provided to Secretariat | Upon receipt of English translation, LY and Secretariat should review draft and agree on any revisions needed to finalize it for submission |
| Mali | 16.10.1994 | 2 | Yes | No | | Commitment to prepare draft legislation but no recent information on status | Preparation of draft legislation; drafting assistance possibly needed |
| Mauritania | 11.06.1998 | 3 | Yes | No | | Commitment to prepare draft legislation; formal request for assistance | Preparation of draft legislation; drafting assistance needed |
| Mongolia | 04.04.1996 | 2 | | | | CITES legislation enacted; text reviewed by MN and Secretariat and points for clarification as well as need for implementing legislation were identified; no recent information on status | Agreement by MN and Secretariat on revised legislative analysis, including need for implementing legislation to achieve Category 1 status |
| Montenegro | 03.06.2006 | 2 | | | | CITES legislation enacted | Agreement between ME and Secretariat on revised legislative analysis |
| Myanmar | 11.09.1997 | 3 | | | | CITES legislation enacted | Agreement between MM and Secretariat on revised legislative analysis |
| Oman | 17.06.2008 | 3 | Yes | No | | Commitment to prepare draft legislation but no recent information on status | Preparation of draft legislation |
| Palau | 15.07.2004 | 3 | Yes | Yes | | Comprehensive revised draft legislation recently shared with Secretariat for comments | Provision of Secretariat comments; finalization and submission of draft legislation; internal and external drafting assistance seems available |
| Republic of Moldova | 27.06.2001 | 2 | | | | CITES legislation enacted | Agreement between MK and Secretariat on revised legislative analysis, including possible Category 1 status |

| Party | Entry into force of the Convention | Category | Plan | Draft | Submitted for enactment | Progress summary | Next steps/needs |
|---|------------------------------------|----------|------|-------|-------------------------|--|--|
| Saint Kitts and Nevis | 15.05.1994 | 2 | | | | CITES legislation enacted | Agreement between KN and Secretariat on revised legislative analysis, including possible Category 1 status |
| Samoa | 07.02.2005 | 3 | Yes | No | | Draft legislation prepared and in process of submission; formal request for assistance | Finalization and submission of draft legislation; drafting assistance needed |
| Sao Tome and Principe | 07.11.2001 | 3 | Yes | No | | Commitment to prepare draft legislation; formal request for assistance; one of target countries for Secretariat assistance to Lusophone countries | Preparation of draft legislation; drafting assistance needed |
| Serbia | 03.06.2006 | 2 | | | | CITES legislation enacted | Agreement between RS and Secretariat on revised legislative analysis, including possible Category 1 status |
| Sierra Leone | 26.01.1995 | 3 | Yes | Yes | | Wildlife Policy and Forest Policy adopted; amendments to related laws and regulations underway | Review/revision of draft legislation by SL and Secretariat; finalization and submission of draft legislation |
| Solomon Islands | 24.06.2007 | 3 | Yes | No | | Commitment to prepare draft legislation; review of existing legislation underway | Preparation of draft legislation; drafting assistance possibly needed |
| Swaziland | 27.05.1997 | 3 | Yes | Yes | | Comprehensive draft and revised draft legislation prepared; text reviewed by SZ and Secretariat; subsequent written Secretariat comments identified points for clarification and possible gaps; further development of legislation, with legal drafter, underway | Finalization and submission of draft legislation |
| Syrian Arab Republic | 29.07.2003 | 3 | Yes | Yes | | Comprehensive draft legislation prepared and submitted to Prime Minister for discussion; English translation provided to Secretariat | Review/revision of draft legislation by SY and Secretariat; finalization and submission of draft legislation |
| The former Yugoslav Republic of Macedonia | 02.10.2000 | 2 | | | | CITES legislation enacted | Agreement between MK and Secretariat on revised legislative analysis, including possible Category 1 status |

| Party | Entry into force of the Convention | Category | Plan | Draft | Submitted for enactment | Progress summary | Next steps/needs |
|------------|------------------------------------|----------|------|-------|-------------------------|--|--|
| Uzbekistan | 08.10.1997 | 2 | Yes | Yes | | Draft legislation prepared; currently under discussion; no English translation yet provided to Secretariat | Finalization and submission of draft legislation |

Table 4: Parties which had been party to the Convention for five years or less as of March 2013

| Party | Entry into force | Category | Plan | Draft | Submitted for enactment | Progress summary | Next steps/needs |
|----------|------------------|----------|------|-------|-------------------------|---|---|
| Angola | 31/12/2013 | p | | | | Formal request for assistance; Secretariat legislative and technical assistance mission planned in 2014 | Review by AO and Secretariat of existing CITES-related legislation; preparation of draft legislation elements to address any gaps; external funds available for review, drafting and internal consultation assistance |
| Bahrain | 17/11/2012 | p | | | | Comprehensive draft legislation prepared and under internal discussion | Finalization and submission of draft legislation |
| Iraq | 06/05/2014 | p | | | | Consultations between IQ and Secretariat are in early stages | Review by IQ and Secretariat of existing CITES-related legislation |
| Lebanon | 26/05/2013 | p | | | | Consultations between LB and Secretariat are in early stages; possible Secretariat technical assistance mission for new Party | Review by LB and Secretariat of existing CITES-related legislation and agreement on legislative analysis |
| Maldives | 12/03/2013 | p | | | | Legislation discussed during national CITES workshop; formal request for assistance; small scale funding agreement concluded between MV and Secretariat | Under SSFA, local legal consultant reviewing existing legislation, preparing new draft legislation to address gaps, assisting with national consultative process and preparing final draft legislation |

Table 5: Dependent territories

| Dependent territory | Category | Plan | Draft | Submitted for enactment | Dep. | Progress summary | Next steps/needs |
|--------------------------------|----------|------|-------|-------------------------|------|---|--|
| American Samoa | 1 | | | | US | | |
| Anguilla | 1 | | | | GB | | |
| Aruba | 2 | | | | NL | CITES legislation enacted | Agreement between NL and Secretariat on revised legislative analysis, including possible Category 1 status |
| Bailiwick of Guernsey | 3 | Yes | Yes | | GB | Comprehensive enabling and implementing legislation prepared; submitted for Secretariat comments | Review by GB and Secretariat before finalization and submission of draft legislation |
| Bailiwick of Jersey | 1 | | | | GB | | |
| Bermuda | 2 | Yes | No | | GB | Commitment to prepare draft legislation; CITES workshop for OTs and CDs held earlier this year; draft text should soon be submitted to the Secretariat for comments | Preparation of draft legislation |
| British Indian Ocean Territory | 1 | | | | GB | | |
| British Virgin Islands | 2 | Yes | Yes | | GB | Draft legislation prepared and under further development; CITES workshop for OTs and CDs held earlier this year; follow-up underway | Preparation and submission of final draft legislation |
| Cayman Islands | 2 | Yes | Yes | | GB | Comprehensive draft legislation prepared and under further development; CITES workshop for OTs and CDs held earlier this year; follow-up underway | Preparation and submission of final draft legislation |
| French Guiana | 1 | | | | FR | | |
| French Polynesia | 2 | | | | FR | CITES legislation enacted | Agreement between FR and Secretariat on revised legislative analysis, including possible Category 1 status |
| Gibraltar | 1 | | | | GB | | |

| Dependent territory | Category | Plan | Draft | Submitted for enactment | Dep. | Progress summary | Next steps/needs |
|--|----------|------|-------|-------------------------|------|---|---|
| Greenland | 2 | | | | DK | Comprehensive CITES legislation enacted; review by Greenland and Secretariat identified possible gaps; Greenland and Secretariat now reviewing the matter again | Agreement by Greenland and Secretariat on revised legislative analysis, including possible Category 1 status |
| Guadeloupe | 1 | | | | FR | | |
| Guam | 1 | | | | US | | |
| Hong Kong SAR | 1 | | | | CN | | |
| Isle of Man | 1 | | | | GB | | |
| Macao SAR | 2 | Yes | Yes | Yes | CN | Comprehensive draft legislation prepared and submitted for enactment but no recent information on status | Enactment, followed by agreement between Macao SAR and Secretariat on revised legislative analysis |
| Martinique | 1 | | | | FR | | |
| Montserrat | 2 | Yes | Yes | | GB | Draft legislation prepared and under further development; CITES workshop for OTs and CDs held earlier this year; follow-up underway | Preparation and submission of final draft legislation |
| Netherlands Antilles | 2 | | | | NL | CITES legislation enacted | Agreement between NL and Secretariat on revised legislative analysis, including possible Category 1 status |
| New Caledonia | 2 | Yes | Yes | | FR | Draft legislation prepared but no recent information on status | Finalization and submission of draft legislation |
| Northern Mariana Islands | 1 | | | | US | | |
| Pitcairn Islands | 1 | | | | GB | | |
| Puerto Rico | 1 | | | | US | | |
| Réunion | 1 | | | | FR | | |
| Saint Helena, Tristan de Cunha and the Ascension Islands | 2 | Yes | Yes | | GB | Comprehensive draft legislation prepared for Acension Island; text submitted for Secretariat comments; draft legislation for Saint Helena soon to be submitted for Secretariat comments | Preparation of draft legislation for Saint Helena and Tristan de Cunha Island; finalization and submission of draft legislation for Acension Island |
| Saint Pierre and Miquelon | 1 | | | | FR | | |

| Dependent territory | Category | Plan | Draft | Submitted for enactment | Dep. | Progress summary | Next steps/needs |
|-------------------------------------|----------|------|-------|-------------------------|------|---------------------------|--|
| Virgin Islands of the United States | 1 | | | | US | | |
| Wallis and Futuna Islands | 2 | | | | FR | CITES legislation enacted | Agreement between FR and Secretariat on revised legislative analysis, including possible Category 1 status |

Note: Turks and Caicos have developed draft legislation. The Convention will be extended to cover this dependent territory of the United Kingdom once it has enacted legislation which qualifies for Category-1 status.

Keys

- Category:**
- 1: legislation that is believed generally to meet all four requirements for effective implementation of CITES
 - 2: legislation that is believed generally to meet one to three of the four requirements for effective implementation of CITES
 - 3: legislation that is believed generally not to meet any of the four requirements for effective implementation of CITES
 - p: pending submission of legislation to the Secretariat
- shaded text: changes since CoP16
- *: translation required
- Plan:** CITES legislation plan submitted to the Secretariat
- Draft:** Draft legislation submitted to the Secretariat for comments
- Submitted for enactment:** Draft legislation submitted to Cabinet or parliament for enactment
- Entry into force of the Convention:** Date on which Party's adherence to the Convention took effect
- Dep.:** Two-letter ISO code of the State of which the territory is a dependency